Section 2. Forms PTO/SB/08A and 08B (formerly Form PTO-1449)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#4

2204/A50

2152

Applicants:

Cain et al.

09/661,273

Date Filed:

Serial No:

September 13, 2000

Invention: SYSTEM

September 13, 2000

SYSTEM, DEVICE, AND METHOD FOR RECEIVER ACCESS CONTROL

Atorney Docket:

t Group Unit:

Examiner Name:

IN AN INTERNET TELEVISION SYSTEM

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

Other Documents

Examiner Initials	Reference Number	Author	Title of Article, Title of Journal, Volume Number, Page Numbers, Date
The	AA	Fenner	"Internet Group Management Protocol, Version 2" Internet Engineering Task Force Request for Comments: 2236 November 1997
The	AB	Estrin et al.	"Protocol Independent Multicast - Sparse Mode (PIM-SM): Protocol Specification" Internet Engineering Task Force Request for Comments: 2362 June 1998
The	AC	Deering et al.	"Protocol Independent Multicast Version 2 Dense Mode Specification" Internet Engineering Task Force Internet Draft draft-ietf-pim-v2-dm-03.txt June 7, 1999
Tha	AD	Durham et al.	"The COPS (Common Open Policy Service) Protocol" Internet Engineering Task Force Request for Comments: 2748 January 2000

______ AE Cain et al.

"Internet Group Management Protocol, Version 3" Internet Engineering Fask Force Internet Draft draft-ietf-idmr-igmp-v3-04.txt June 2000

Examiner Signature: Thuhangur	1m
Date Considered: 11/24/03	1
EXAMINER: Initial if reference considered, whether or no through citation <i>if not</i> in conformance and not considered.	

02204/00A50 141966.1

Section 6. Copies of Listed Information Items Accompanying This Statement

NOTE: 37 C.F.R. 1.98(a)(2) requires that any information disclosure statement filed under § 1.97 shall include: "A legible copy of: (1) Each U.S. and foreign patent; (ii) Each publication or that portion which caused it to be listed; and (iii) All other information or that portion which caused it to be listed, except that no copy of a U.S. patent application need be included . . . "

NOTE: The wording in § 1.98(a)(2)(iii) makes it clear that the requirement to submit a copy of each item of information listed in an information disclosure statement does not apply to the citation of a U.S. patent application. Notice of January 9, 1992, 1135 O.G. 13-25, at 14.

Legible copies of all items listed in Forms PTO/SB/08A and 08B (formerly Form PTO-1449) accompany this information statement.

(complete the following, if applicable)

Exception(s) to above:

Items in prior application, from which an earlier filing date is claimed for this application, as identified in Section 4.

Cumulative patents or publications identified in Section 5.

(Information Disclosure Statement—Section 6. Copies of Listed Information Items Accompanying

This Statement [6-1]—page _6___ of ___7__)

Section 10. Identification of Person(s) Making This Information Disclosure Statement

The person making this statement is (check each applicable item) (a) the inventor(s) who signs below SIGNATURE OF INVENTOR (type name of inventor who is signing) (b) an individual associated with the filing and prosecution of this application (37 C.F.R. § 1.56(c)) SIGNATURE OF INVENTOR (type name of inventor who is signing) (c)

the practitioner who signs below on the basis of the information: (check each applicable item) supplied by the inventor(s). ☐ supplied by an individual associated with the filing and prosecution of this application. (37 C.F.R. § 1.56(c)) XX in the practitioner's file. Reg. No.: 39,250 Jeffrey T. Klayman (type or print name of practitioner) Tel. No.: 617/443-9292 Bromberg & Sunstein LLP Customer No.: 00-2101 P.O. Address 125 Summer Street, Boston, MA 02110 (information Disclosure Statement-Section 10. Identification of Person(s) Making This Information Disclosure

Statement [6-1]—page _7__ of _7_)